

# SIP & SIP-Top up Registration / Renewal (Form 2)

<b>Distributor / RIA Code</b>  <b>ARN-42260</b>	<b>Sub-Distributor Code</b>	<b>EUIN No.</b>  <b>E025630</b>		<b>Application No.</b> <b>WEB FORM</b>
			<b>Internal Code for Sub-broker/ Employee</b>	
<b>EUIN Declaration</b> <small>I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.</small>	First Holder	Second Holder	Third Holder	
<b>RIA Declaration</b> <small>"I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all Schemes managed by you, to the above mentioned SEBI-Registered Investment Adviser/ RIA"</small>	First Holder	Second Holder	Third Holder	

### UNIT HOLDER INFORMATION

Please fill your GSTIN in the application form (if applicable).

Existing Folio Number

GSTIN

Name of the First Holder

\*Aadhar No.  \*Compulsory

KIN  **KYC Identification Number**

Please Tick (✓)  SIP Registration  SIP with Top-up Registration  SIP - Change in Bank Details (Please mention relevant SIP details below and also in the IDFC One Time Mandate (OTM)).

### SYSTEMATIC INVESTMENT PLAN DETAILS

Scheme / Plan / Option	Initial SIP Amount (Optional)	Monthly SIP Date* (DD)	SIP Period (MMYYYY)	Instalment Amount (Rs.)	SIP TOP-UP (Optional) (Refer J (viii)) <small>Registration for this facility is subject to the investor's bankers accepting the mandate for this registration.</small>	
					Frequency	Amount
1.	Please Tick (✓) <input type="checkbox"/> Default 10th	<input style="width:30px; height:20px;" type="text"/> Default 10th	From <input style="width:40px; height:20px;" type="text"/> To <input style="width:40px; height:20px;" type="text"/> OR <input type="checkbox"/> 1 2 2 0 9 9	₹ <input style="width:40px; height:20px;" type="text"/>	<input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly^	₹ <input style="width:40px; height:20px;" type="text"/> <small>(The Top-up amount should be Rs. 500 and multiples of Rs. 500 thereafter)</small>
2.	Please Tick (✓) <input type="checkbox"/> Default 10th	<input style="width:30px; height:20px;" type="text"/> Default 10th	From <input style="width:40px; height:20px;" type="text"/> To <input style="width:40px; height:20px;" type="text"/> OR <input type="checkbox"/> 1 2 2 0 9 9	₹ <input style="width:40px; height:20px;" type="text"/>	<input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly^	₹ <input style="width:40px; height:20px;" type="text"/> <small>(The Top-up amount should be Rs. 500 and multiples of Rs. 500 thereafter)</small>
3.	Please Tick (✓) <input type="checkbox"/> Default 10th	<input style="width:30px; height:20px;" type="text"/> Default 10th	From <input style="width:40px; height:20px;" type="text"/> To <input style="width:40px; height:20px;" type="text"/> OR <input type="checkbox"/> 1 2 2 0 9 9	₹ <input style="width:40px; height:20px;" type="text"/>	<input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly^	₹ <input style="width:40px; height:20px;" type="text"/> <small>(The Top-up amount should be Rs. 500 and multiples of Rs. 500 thereafter)</small>

(Any date except 29<sup>th</sup>, 30<sup>th</sup> & 31<sup>st</sup> of the month) ^Default Top-up option Yearly

### Initial SIP Instalment Payment Through

My existing OTM registered to be used for initial & subsequent SIP instalments (mention OTM No. in the boxes)

(OR)

Cheque No.  Bank Name

Used cheque for initial SIP Amount and below OTM for subsequent SIP instalments. (Continued on page no.2)

### IDFC One Time Mandate (OTM)

IDFC MUTUAL FUND **UMRN**  **FOR OFFICE USE ONLY** **Date**

**Sponsor Bank Code**  **Utility Code**

**Tick (✓)**  CREATE  MODIFY  CANCEL **I/We hereby authorize**  IDFC Mutual Fund **to debit tick (✓)**  SB  CA  SB-NRE  SB-NRO

**Bank A/c number**

**with Bank**  **IFSC**  **or MICR**

**an amount of Rupees**  ₹

**FREQUENCY**  Monthly  Quarterly  Half Yearly  Yearly  As & when presented **DEBIT TYPE**  Fixed Amount  Maximum Amount

**Folio No. / Application No.**  **Mobile No.** +91

**Reference**  **Email ID**

I agree for the debit mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule for charges of the bank.

**PERIOD**

**From**

**To**

**Or**  **Until Cancelled**

Signature of Primary Account Holder \_\_\_\_\_ Signature of Account Holder \_\_\_\_\_ Signature of Account Holder \_\_\_\_\_

1. \_\_\_\_\_ Name as in bank records 2. \_\_\_\_\_ Name as in bank records 3. \_\_\_\_\_ Name as in bank records

• This is to confirm the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/corporate to debit my account, based on the instructions as agreed & signed by me.  
• I have understood that I am authorised to cancel/amend this mandate by a appropriately communicating the cancellation/amendment request to the user entity/corporate or the bank where I have authorised the debit.

Please specifically mention the MICR code of you bank branch in case you have a payable at par cheque book. In case of incorrect/ incomplete bank details it will be captured from attached cheque copy on a best effort basis.

1. I/We have read and understood the terms and features of the scheme(s), associated risk factors, contents of the Statement of Additional Information (SAI) of IDFC Mutual Fund, Scheme Information Document (SID) and Key Information Memorandum (KIM) of the scheme(s) and the Addenda issued till date. I/we hereby apply for the units of the Scheme(s) and agree to abide by the terms, conditions, rules and regulations governing the Scheme(s). I/ We hereby declare that the amount invested in the Scheme(s) legally belongs to me/us and is acquired through legitimate sources only, and does not involve and is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the Taxation Laws, Anti Money Laundering Laws, Anti-Corruption Laws or any other laws as applicable to me/us from time to time. I/We am/are eligible investor(s) as per the scheme related documents and am/are authorised as per the Constitutive documents/ authorisation(s) and have not been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We further confirm that I am not /we are not prohibited from accessing capital markets under any order/ruling/judgment etc., of any judicial or regulatory authority. 2. In the event "Know Your Customer" process is not completed by me / us to the satisfaction of the Mutual Fund, I/ we hereby authorise the Mutual Fund, to redeem the funds invested in the Scheme(s), in favour of the applicant, at the applicable NAV prevailing on the date of such redemption subject to applicable exit load and undertake such other action with such funds that may be required by the Law. 3. I / We have understood the information requirements of this Form (read along with the FATCA, Additional KYC & CRS Instructions). I/We hereby acknowledge and confirm that the information provided above is/are true, correct and complete to the best of my/our knowledge and belief and in case any of the information is found to be false or untrue or misleading or misrepresenting, I/we shall be liable for it. I/We also undertake to keep you informed immediately in writing about any changes/modification to the above information in future and also undertake to provide any other additional information as may be required at your end. I/We hereby authorise you to disclose, share, remit in any form, mode or manner, all / any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/ us to the Mutual Fund, its Sponsor, Asset Management Company, Trustees, their employees, agents / service providers, other SEBI registered intermediaries or any Indian or foreign statutory or regulatory authorities without any obligation of advising me/us of the same. 4. The ARN holder has disclosed to me / us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us. 5. For micro-investments only : I/We confirm that I/we do not have any other existing investment in the schemes of IDFC Mutual Fund which together with this proposed investment will result in aggregate investments exceeding Rs.50,000/- in a year. 6. For NRIs / PIOs / FPIs only : I / We confirm that I am / we are Non Resident Indians / Person(s) of Indian Origin / Foreign Portfolio Investors but not (i) United States persons within the meaning of Regulation (S) under the United States Securities Act of 1933, or as defined by the U.S. Commodity Futures Trading Commission, as amended from time to time or (ii) residents of Canada, and that I / we have remitted funds from abroad through approved banking channels or from funds in my / our Non-Resident External / Non-Resident Ordinary / FCNR Account maintained in accordance with applicable RBI guidelines.

I/We hereby confirm that, I/We have read and understood the Privacy Policy hosted on www.idfcmf.com. I/ We hereby consent IDFC AMC/IDFC MF/Trustee to share information (including sensitive personal data or information) provided in relation to our Investment in IDFC MF to any Associate / Group company / Affiliate of IDFC AMC/IDFC MF / Trustee, for offering, marketing or solicitation of their products and services.

<b>SIGNATURE/S (MANDATORY)</b>
First / Sole Applicant / Guardian / Authorised Signatory
Second Applicant
Third Applicant

### TERMS AND CONDITIONS :

1) IDFC Mutual Fund can register the attached 'One Time mandate' to facilitate collection of payments towards investments in Mutual Funds as directed by the investors. 2) The facility is made available to investors of IDFC Mutual funds who subscribe to use this one time mandate for their intended investment collections only. 3) The Scheme Information Document (SID), Scheme Additional Information (SAI) and Key Information Memorandum, all Instructions, Addenda issued from time to time, as available on the IDFC Mutual Funds' website, Risk Factors, Loads and all other information related to various requirements of the respective Scheme/Plan shall be understood and referred to before providing the mandate by the investor. It is deemed that the investor agrees to the terms and conditions of the respective chosen scheme as stipulated by AMC from time to time. 4) IDFC MF shall map this mandate, after due acceptance from investor's bank, as one of the authorised payment modes in the RTA folio records for all investments in IDFC Mutual Fund and the above mapping shall be purely based on automatic matching of PAN mentioned in the attached mandate with the first holder's PAN (Guardian's PAN for Minors) in investor's folios. 5) IDFC MF, directly as or through its appointed aggregator (service provider) shall arrange to lodge only the duly valid Mandate with investor's bank under Automated Clearing House (ACH) Rules. 6) Any charges payable by the investor to his/her bank for registering and honoring this mandate will not be borne by IDFC and for the same to be debited to bank account, the mandate contains necessary authorization. 7) IDFC MF or its aggregator shall also facilitate collection of periodical or adhoc payments due from the Investor, (either for fresh purchase or additional purchase or SIP investments as the case may be) as per duly lodged and accepted mandate terms. This will be as per specific instructions received from IDFC Mutual Fund for the desired adhoc/ periodical transactions instructions issued/conveyed to them directly by the investor through various permitted modes. 8) IDFC MF shall ensure that under any circumstances, the funds will not be used other than for the intended purpose and IDFC MF shall not have any title or ownership over the proceeds except for the interim period pending pass through to the IDFC MF. 9) IDFC MF shall be bound by rules and procedures prescribed by NPCI from time to time for this ACH Debit mandate collection services operated by them. 10) The investor cannot hold the IDFC Mutual Fund or the aggregator responsible for any loss, if mandate is rejected or any of its transaction is delayed or not effected at all for reasons of incomplete or incorrect information or due to any reason at or of the investor bank. 11) IDFC MF will not be responsible or liable for nor be in default for reasons of any failure or delay in ensuring obligations under this facility, where such failure or delay is caused, in whole or in part, by any force majeure event (including network failures) which are beyond the reasonable control of IDFC MF. 12) The investor clearly understands that this common ACH mandate provides for multiple debits on same settlement date to pay various underlying transactions for values, each of which shall be less or equal to the maximum amount specified in the relevant mandate. Accordingly, the investor shall ensure that the intended bank account remains funded well in advance of any given date to meet all his adhoc/periodical commitments for transactions under the relevant mandates. Investor shall note that his bank may charge service fees for any dishonor. 13) All the intended transactions will be presented on best efforts basis if received by and within the timelines agreed by the service provider and the decision of the investor's bank will be final in acceptance or rejection of any or all transaction. 14) IDFC MF shall recover any wrongful credits afforded in investor's folios and for which notice/information is hereby waived. 15) The investor clearly understands that debit of funds for transactions using IDFC One Time Mandate form will be subject to normal processing time taken for clearing such transactions through the ACH platform and in accordance with NPCI guidelines.